

# HAMLIN

CAPITAL MANAGEMENT, LLC

Hamlin High Dividend Equity Fund IRA Asset Transfer/Direct Rollover Request

For Assistance Call: 1-855-HHD-FUND

## GENERAL INFORMATION

Please read the Funds' **prospectus** for important information about the Funds and the **IRA Custodial Agreement and Disclosure Statement** for important information regarding IRA Investments and retain them for your files.

Please complete the items below if you are transferring assets from another institution, are initiating a direct rollover from a corporate retirement plan, a transfer from another IRA to a Hamlin High Dividend Equity Fund IRA or converting a traditional IRA at another institution to a Hamlin High Dividend Equity Fund Roth Conversion IRA. If this is a new IRA account in the Hamlin High Dividend Equity Fund, you must also complete an IRA Application.

We will contact your present Trustee/Custodian to arrange the transfer. If you have any questions or need additional forms, please call 1-855-HHD-FUND.

If transfer/rollover is being added to an existing Hamlin High Dividend Equity Fund IRA account, please provide account number: \_\_\_\_\_.

Please print or type all items except signature.

## 1 IRA REGISTRATION

NAME OF IRA ACCOUNTHOLDER

STREET ADDRESS

CITY STATE ZIP

SOCIAL SECURITY NUMBER

( ) DAYTIME TELEPHONE #

E-MAIL ADDRESS

## 2 PRESENT TRUSTEE/CUSTODIAN

NAME OF PRESENT TRUSTEE/CUSTODIAN OR PLAN ADMINISTRATOR

STREET ADDRESS

CITY STATE ZIP

FUND NAME & ACCOUNT NUMBER AT PRESENT TRUSTEE

TELEPHONE NUMBER OF PRESENT TRUSTEE/CUSTODIAN

## 3 TRANSFER/DIRECT ROLLOVER INSTRUCTIONS

I have established an Individual Retirement Account (IRA). Please transfer my assets in accordance with the instructions below and mail the check to: Hamlin High Dividend Equity Fund P.O. Box 219009 Kansas City, MO 64121-9009. Make the check payable to **Hamlin High Dividend Equity Fund**.

- Liquidate all assets in my IRA Account and transfer the entire proceeds.
- Liquidate only part of my assets in my IRA Account and transfer \$ \_\_\_\_\_.
- Liquidate ONLY the assets listed below (For CDs):  
Account Number \_\_\_\_\_  
 Immediately  At maturity on \_\_\_\_\_.
- Directly roll over my qualified plan distribution to my IRA.  
(Contact your employer for additional requirements.)

## 4 ACCOUNT TYPE TO BE TRANSFERRED

- IRA
- Rollover IRA
- Employer Qualified Plan, 401(k), Profit Sharing Plan
- Roth Contributory IRA, original start date of \_\_\_\_\_
- Roth Conversion IRA, original start date of \_\_\_\_\_
- SEP IRA
- 457 Plan
- 403(b) Plan

## 5 SIGNATURE & AUTHORIZATION

I hereby agree to the terms and conditions set forth in this transfer authorization and acknowledge having established a Hamlin High Dividend Equity Fund IRA through execution of the IRA Application Form.

SIGNATURE

DATE

**NOTE:** Your present Custodian may require a signature guarantee. Please check with that institution for requirements. If required, please complete the following:

Signature Guaranteed By:

NAME OF BANK OR FIRM

SIGNATURE OF OFFICER

TITLE

(Place Stamp Here)

**This section to be completed by SEI Private Trust Company**

SEI Private Trust Company hereby agrees to accept the transfer described above and upon receipt of cash or other assets will apply the proceeds to the Hamlin Funds Customer Sub-Account established on behalf of the Customer.

SEI PRIVATE TRUST COMPANY:

BY

DATE

TITLE